

FORM MGT-9

EXTRACT OF ANNUAL RETURN

As on Financial year ended on 31.03.2020

[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014]

I. REGISTRATION & OTHER DETAILS:		
1	CIN	U67120PB1981PLC004696
2	Registration Date	10/17/1981
3	Name of the Company	Ludhiana Stock and Capital Limited (Formerly Ludhiana Stock Exchange Limited)
4	Category/Sub-category of the Company	Company Limited by Shares / Non-Govt. Company
5	Address of the Registered office & contact details	Feroze Gandhi Market, Ludhiana Ph. No. 0161-2405756, 4662014, 4663014 E-mail : lse_cs@rediffmail.com, Website : www.lse.co.in
6	Whether listed company	No
7	Name, Address & Contact details of the Registrar & Transfer Agent, if any.	Beetal Financial and Computer Services Private Limited Beetal House, 3rd Floor, 99, Madangir, Behind Local Shopping Centre, Near Dada Harsukh Das Mandir, New Delhi-110062 Ph. No. :- 011-29961281, 29961282, Fax:- 011-29961284

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	To Co-Ordinate the policy and administration of Subsidiary company and provide Financial/advisory services related thereto	642- Activities of holding companies	
*as per NIC code 2008			

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
S. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	LSC Securities Limited (Formerly L.S.E. Securities Limited) SCO. 142-143, 4th Floor, Cabin no. 111, Sector 34-A, Chandigarh.	U67120CH2000PLC023244	Subsidiary	51.71	2(87)

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on 1st April 2019)				No. of Shares held at the end of the year (As on 31st March 2020)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	240	310	550	0.93%	240	310	550	0.93%	0.00%
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	-	-	-	-	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (1)	240	310	550	0.93%	240	310	550	0.93%	0.00%

DIRECTORS' REPORT (ANNEXURE-B)

(2) Foreign									
a) NRI Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (2)	-	-	-	-	-	-	-	-	-
TOTAL (A)	240	310	550	0.93%	240	310	550	0.93%	0.00%
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	4,037	4,472	8,509	14.37%	4,145	4,062	8,207	13.86%	-0.51%
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	33,660	16,481	50,141	84.70%	36,112	14,331	50,443	85.21%	0.51%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (specify)	-	-	-	-	-	-	-	-	-
Non Resident Indians	-	-	-	-	-	-	-	-	-
Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-
Foreign Nationals	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-
Trusts	-	-	-	-	-	-	-	-	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
Sub-total (B)(2):-	37,697	20,953	58,650	99.07%	40,257	18,393	58,650	99.07%	0.00%
Total Public (B)	37,697	20,953	58,650	99.07%	40,257	18,393	58,650	99.07%	0.00%
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C+D)	37,937	21,263	59,200*	100.00%	40,497	18,703	59,200*	100.00%	0.00%

*Out of total 59,200 shares, 200 Shares transferred to Investors Education and Protection Fund on December 08, 2017

DIRECTORS' REPORT (ANNEXURE-B)

(ii) Shareholding of Promoters

S. No.	Shareholder's Name	Shareholding at the beginning of the year as on 1st April 2019			Shareholding at the end of the year as on 31st March 2020			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Mr. Raghubir Chand Singal	190	0.32%	-	190	0.32%	-	-
2	Mr. Sat Pal Kanwar	240	0.41%	-	240	0.41%	-	-
3	Mr. B.K. Arora	-	-	-	-	-	-	-
4	Mr. Shri Paul Jain	-	-	-	-	-	-	-
5	Mr. Rajinder Nath	-	-	-	-	-	-	-
6	Mr. Ram Dass Khanna	-	-	-	-	-	-	-
7	Mr. Subash Chander Jain	120	0.20%	-	120	0.20%	-	-

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

S. No.	Particulars	Date	Reason	Shareholding at the beginning of the year as on 1st April 2019		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	At the beginning of the year	01/04/2019		550	0.93%	550	0.93%
2	Changes during the year		No change	-	-	-	-
3	At the end of the year	31/03/2020		550	0.93%	550	0.93%

(iv) Shareholding Pattern of Top Ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	Name of Shareholder	Shareholding at the beginning of the year as on 1st April 2019		Date	Reason	Increase/Decrease in Shareholding		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Rishab Gupta*	639	1.08%					639	1.08%
				12.04.2019	Transfer of Shares	100	0.17%	739	1.25%
				10.05.2019	Transfer of Shares	90	0.15%	829	1.40%
				02.08.2019	Transfer of Shares	200	0.34%	1029	1.74%
				07.02.2020	Transfer of Shares	22	0.04%	1051	1.78%
				31.03.2020	At the end of the year			1051	1.78%
2	Rakesh Gupta*	500	0.84%					500	0.84%
				21.02.2020	Transfer of Shares	100	0.17%	600	1.01%
				31.03.2020	At the end of the year			600	1.01%

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3	Sonia Gupta	350	0.59%					350	0.59%
				13.09.2019	Transfer of Shares	100	0.17%	450	0.76%
				04.10.2019	Transfer of Shares	100	0.17%	550	0.93%
				31.03.2020	At the end of the year			550	0.93%
4	Bhupinder Kaur*	480	0.81%					480	0.81%
				-	No Change	-	-	-	-
				31.03.2020	At the end of the year			480	0.81%
5	Shridhar Mallawat*	445	0.75%					445	0.75%
				-	No Change	-	-	-	-
				31.03.2020	At the end of the year			445	0.75%
6	Gopal Dass Gupta*	400	0.68%					400	0.68%
				-	No Change	-	-	-	-
				31.03.2020	At the end of the year			400	0.68%
7	Vijay Kumar Dhir*	400	0.68%					400	0.68%
				-	No Change	-	-	-	-
				31.03.2020	At the end of the year			400	0.68%
8	Kehkesha Verma*	400	0.68%					400	0.68%
				-	No Change	-	-	-	-
				31.03.2020	At the end of the year			400	0.68%
9	Aruna Mehra*	398	0.67%					398	0.67%
				-	No Change	-	-	-	-
				31.03.2020	At the end of the year			398	0.67%
10	Babita Sharma*	390	0.66%					390	0.66%
				-	No Change	-	-	-	-
				31.03.2020	At the end of the year			390	0.66%

* Common Top Ten Shareholders as on 31.03.2019 and 31.03.2020

DIRECTORS' REPORT (ANNEXURE-B)

(v). Shareholding of Directors and Key Managerial Personnel:

Sr. No.	Name of Directors and Key Managerial Personnel	Shareholding at the beginning of the year as on 1st April 2019		Date	Reason	Increase/Decrease in Shareholding		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	*Sh. Jaspal Singh	10	0.02%	-	No change	-	-	10	0.02%
				31.03.2020	At the end of the year			10	0.02%
2	**Sh. Sanjeev Gupta	10	0.02%	-	No change	-	-	10	0.02%
				31.03.2020	At the end of the year			10	0.02%
3	Sh. Vikas Batra	15	0.03%	-	No Change	-	-	15	0.03%
				31.03.2020	At the end of the year			15	0.03%
4	Sh. Ashok Kumar	560	0.95%	-	No Change	-	-	560	0.95%
				31.03.2020	At the end of the year			560	0.95%
5	Sh. Kanwal Preet Singh Walia	10	0.02%	-	No change	-	-	10	0.02%
				31.03.2020	At the end of the year			10	0.02%
6	Sh. Ravinder Pal Singh	200	0.34%	-	No change	-	-	200	0.34%
				31.03.2020	At the end of the year			200	0.34%
7	Sh. Ved Parkash Gaur	-	-	-	No change	-	-	-	-
				31.03.2020	At the end of the year				
8	Sh. Tribhawan Singh Thapar	10	0.02%	-	No change	-	-	10	0.02%
				31.03.2020	At the end of the year			10	0.02%

*The office of Director held by Sh. Jaspal Singh (DIN: 00458451) stands vacated due to his sudden demise on 22.07.2020

**Sh. Sanjeev Gupta (DIN: 00412914) has been appointed as Director by the Members at 37th Annual General Meeting of the Company, held on 27.09.2019

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

DIRECTORS' REPORT (ANNEXURE-B)

Change in Indebtedness during the financial year				
* Addition	-	-	-	-
* Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A). Remuneration to Managing Director, Whole-time Director and /or Manager:

Sr.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs./Lacs)
	Name			
	Designation			
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income -tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others (Bonus)	-	-	-
	Total (A)	-	-	-
	Ceiling as per the Act	-	-	-

B). Remuneration to other Directors

Sr.	Particulars of Remuneration	Name of Directors		Total Amount (Rs.)
		Sh. Ved Parkash Gaur	Sh. Ravinder Pal Singh	
1	Professional Directors			
	Fee for attending board/ committee meetings	14,000.00	7,000.00	21,000.00
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (1)	14,000.00	7,000.00	21,000.00
2	Other Non-Executive Directors			
	Fee for attending board/ committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (2)	0.00	0.00	0.00
	Total (B)=(1+2)	14,000.00	7,000.00	21,000.00
	Total Managerial Remuneration	-	-	21,000.00
	Overall Ceiling as per the Act	-	-	-

DIRECTORS' REPORT (ANNEXURE-B)

C). Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Sr.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount (Rs./Lacs)
		CEO	CFO	CS	
	Name				
	Designation	CEO	CFO	CS	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income - tax Act, 1961	-	-	-	-
	Name				
	Designation	CEO	CFO	CS	
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- as % of profit	-	-	-	-
	- others, specify	-	-	-	-
5	Others, please specify	-	-	-	-
	Total	-	-	-	-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					None
Punishment					
Compounding					
B. DIRECTORS					
Penalty					None
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					None
Punishment					
Compounding					

For and on behalf of the Board of Directors
of Ludhiana Stock and Capital Limited
(Formerly Ludhiana Stock Exchange Limited)

PLACE : LUDHIANA
DATED : 16-11-2020

Sd/-
Tribhawan Singh Thapar
Chairperson
(DIN - 00494576)

Sd/-
Ashok Kumar
Director
(DIN - 01971376)

DIRECTORS' REPORT (ANNEXURE-C)

Annexure 'C'
Statement of Employee under Rules 5 (2) & (3) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014

Sr. No.	Name	Designation	Remuneration (Rs.)	Qualification	Experience (Years)	Date of Commencement	Age (Years)	Last employment held
1	Des Raj	Jr. Engineer	347,248	I.T.I. Dip.	28	01.09.1992	55	Oriental Textile Processing Co. Pvt. Ltd.
2	Pankaj Jain	Manager (Accounts)	344,625	B.Com., C.A. Inter	12	01.01.2019	54	Ellora Shawls Pvt. Ltd.
3	Manjit Singh	Jr. Engineer	303,582	I.T.I. Dip	25	24.08.1995	58	Arihant Textile Industries
4	Dhirender Negi	Sr. Technician	264,867	Under Matric	29	03.02.1990	56	First Employment
5	Ramesh Chand	Lift Operator	258,805	Under Matric	27	16.12.1992	56	PNB
6	Atul Chikersal	Executive	247,448	B.Sc. (Non-Medical)	19	25.09.2008	50	Neva Garments
7	Gurmail singh	Sr. Technician	241,987	I.T.I. Dip.	31	01.07.1996	52	NFL Bhatinda
8	Ram Pujan	Electrician	240,091	Under Matric	28	01.05.1992	51	Amber Electronics
9	Ranjeet Singh	A.C. Operator	207,976	I.T.I. Dip.	13	12.05.2017	44	Ansal Plaza
10	Kailash Singh	Electrician	203,496	B.A. & I.T.I.	28	20.06.2006	49	Asian Lake Health Food

Notes(1). The nature of employment in all cases is contractual.

(2). None of the employees mentioned above is relative of any Director of the Company.

(3). None of the employees mentioned above is holding any share in the Company.

For and on behalf of the Board of Directors
of Ludhiana Stock and Capital Limited
(Formerly Ludhiana Stock Exchange Limited)

PLACE : LUDHIANA
DATED : 16-11-2020

Sd/-
Tribhawan Singh Thapar
Chairperson
(DIN - 00494576)

Sd/-
Ashok Kumar
Director
(DIN - 01971376)