

**Annexure to Director's Report for the year ended 31<sup>st</sup> March, 2019**

**Annexure “B” - Form No. MGT-9**

**Extracts of Annual Return pursuant to the provisions of Section 92 read with Rule 12 of the Companies (Management and administration) Rules, 2014**

**EXTRACT OF ANNUAL RETURN**

**as on the financial year ended on 31<sup>st</sup> March, 2019**

**of**

**LSC SECURITIES LIMITED**

**(FORMERLY: L.S.E. SECURITIES LIMITED)**

**I. REGISTRATION AND OTHER DETAILS:**

- i) CIN U67120CH2000PLC023244
- ii) Registration Date 07/01/2000
- iii) Name of the Company LSC SECURITIES LIMITED ( FORMERLY: L.S.E. SECURITIES LIMITED)
- iv) Category / Sub-Category of the Company: Public Company Limited by Shares
- v) Address of the Registered Office and contact details: SCO. 142-143, 4th Floor, Cabin no. 111, Sector 34 A, Chandigarh-160022. Phone No. 0172-5065459, Email Id: cs@lsesl.com, Website: www.lse.co.in
- vi) Whether listed company : No
- vii) Name, Address and contact details of Registrar & Transfer Agents (RTA), if any : M/s. Beetal Financial and Computer Services Private Limited, Beetal House, 99, Madangir, B/4, Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi 110 062.

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

<b>Sr. No.</b>	<b>Name and Description of main products / services</b>	<b>NIC Code of the Product/ service</b>	<b>% to total turnover of the Company</b>
1.	Stock Broking and Depository Activities	661- Activities auxiliary to financial service activities, except insurance and pension funding	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

Sr. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1.	Ludhiana Stock and Capital Limited	U67120PB1981PLC004696	Holding	51.71	2 (46)

IV SHAREHOLDING PATTERN (Equity Share Capital Break up as % to total Equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
(1) Indian		-	-	-	-	-	-	-	
a) Individual/HUF	-	52800	52800	0.82%	34300	18500	52800	0.82%	-
b) Central Govt. or State Govt.	-	-	-	-	-	-	-	-	
c) Bodies Corporates	-	3331075	3331075	51.71%	3331075	-	3331075	51.71%	-
d) Bank/FI									
e) Any other									
<b>SUB TOTAL:(A) (1)</b>	-	3383875	3383875	52.53%	3365375	18500	3383875	52.53%	-
<b>(2) Foreign</b>									
a) NRI- Individuals									
b) Other Individuals									
c) Bodies Corp.									
d) Banks/FI									
e) Any other...									
<b>SUB TOTAL (A) (2)</b>									
<b>Total Shareholding of Promoters (A)= (A)(1)+(A)(2)</b>	-	3383875	3383875	52.53%	3365375	18500	3383875	52.53%	-

**20<sup>th</sup> ANNUAL REPORT 2018-2019**

<b>B. PUBLIC SHAREHOLDING</b>									
<b>(1) Institutions</b>									
a) Mutual Funds									
b) Banks/Fl									
C) Central Govt									
d) State Govt.									
e) Venture Capital Fund									
f) Insurance Companies									
g) FIIS									
h) Foreign Venture Capital Funds									
i) Others (specify)									
<b>SUB TOTAL (B)(1):</b>									
<b>(2) Non Institutions</b>									
a) Bodies corporates									
i) Indian	-	870000	870000	13.51%	232500	599000	831500	12.91%	-0.6%
ii) Overseas									
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	-	868700	868700	13.48%	255100	689300	944400	14.66%	1.18%
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakhs	-	1319200	1319200	20.48%	627500	654500	1282000	19.90%	-0.58%
c) Others (specify)									
<b>SUB SSTOTAL(B)(2):</b>	-	3057900	3057900	47.47%	1115100	1942800	3057900	47.47%	
<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>									
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>									
<b>Grand Total (A+B+C)</b>	-	6441775	6441775	100%	4480475	1961300	6441775	100%	

**(ii) Shareholding of Promoters**

Sr. No.	Promoter's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1.	Tribhawan Singh Thapar	26400	0.41	-	26400	0.41	-	-
2.	Tarvinder Dhingra	8100	0.13	-	8100	0.13	-	-
3.	Raghubir Singal	100	0.00	-	100	0.00	-	-
4.	Dr. Rajiv Kalra	18100	0.28	-	18100	0.28	-	-
5.	Harjit Singh Sidhu	100	0.00	-	100	0.00	-	-
6.	Ludhiana Stock and Capital Limited	3331075	51.71	-	3331075	51.71	-	-
	<b>TOTAL</b>	<b>3383875</b>	<b>52.53</b>	<b>-</b>	<b>3383875</b>	<b>52.53</b>	<b>-</b>	<b>-</b>

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

Sr. No.	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year	01.04.2018	-	3383875	52.53	3383875	52.53
2.	Changes during the year	-	No Change	-	-	-	-
3.	At the end of the year	31.03.2019	-	3383875	52.53	3383875	52.53

**(iv) Shareholding Pattern of Top Ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sr. No.	Name of Shareholder	Shareholding at the beginning of the year		Date	Reason	Increase/Decrease in Shareholding		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Arora Stock Brokers Ltd.	28500	0.44					28500	0.44
				-	No Change	-	-		
				31.03.2019	At the end of the year			28500	0.44
2	Rakesh Kumar Chandak	18000	0.28					18000	0.28
				28.11.2018	Transfer of Shares	10000	0.15	10000	0.15
				31.03.2019	At the end of the year			28000	0.43
3	Corporate Scrips Pvt. Ltd.	26500	0.41					26500	0.41
				-	No Change	-	-		
				31.03.2019	At the end of the year			26500	0.41
4	Krishan Chand Gupta	20000	0.31					20000	0.31
				-	No Change	-	-		
				31.03.2019	At the end of the year			20000	0.31
5	Ms. Toshi Bansal	20000	0.31					20000	0.31
				-	No Change	-	-		
				31.03.2019	At the end of the year			20000	0.31

**20<sup>th</sup> ANNUAL REPORT 2018-2019**

6	Super Finvest Services Private Ltd.	20000	0.31					20000	0.31
				-	No Change	-	-		
				31.03.2019	At the end of the year			20000	0.31
7	Neeraj Gupta	20000	0.31					20000	0.31
				-	No Change	-	-		
				31.03.2019	At the end of the year			20000	0.31
8	Sanjay Anand	20000	0.31					20000	0.31
				-	No Change	-	-		
				31.03.2019	At the end of the year			20000	0.31
9	Anupam Syal	20000	0.31					20000	0.31
				-	No Change	-	-		
				31.03.2019	At the end of the year			20000	0.31
10	K. K. Capsec Private Limited	20000	0.31					20000	0.31
				-	No Change	-	-		
				31.03.2019	At the end of the year			20000	0.31

**(v) Shareholding of Directors and Key Managerial Personnel:**

Sr. No.	Name of Directors and Key Managerial Personnel	Shareholding at the beginning of the year		Date	Reason	Increase/Decrease in Shareholding		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Mr. Jaspal Singh	20000	0.31					20000	0.31
				-	No change	-	-	-	-
				31.03.2019	At the end of the year	-	-	20000	0.31
2	Mr. Tribhawan Singh Thapar	26400	0.41					26400	0.41
				-	No change	-	-	-	-
				31.03.2019	At the end of the year			26400	0.41
3	Mr. Bhavesh Makkar	100	0.002					100	0.002
					No Change	-	-	-	-
				31.03.2019	At the end of the year			100	0.002
4	Mr. Parmod Kumar Goyal	100	0.002					100	0.002
					No Change	-	-	-	-
				31.03.2019	At the end of the year			100	0.002
5	Mr. Rakesh Gupta	11500	0.18					11500	0.18
				-	No change				
				31.03.2019	At the end of the year			11500	0.18

## 20<sup>th</sup> ANNUAL REPORT 2018-2019

6	Mr. Nagesh Aggarwal	100	0.002					100	0.002
				-	No change	-	-	-	-
				31.03.2019	At the end of the year			100	0.002
7	Mr. Ashok Kumar	-	-					-	-
				-	No change	-	-	-	-
				31.03.2019	At the end of the year	-	-	-	-
8	Mr. Ashwani Kumar	-	-					-	-
				-	No change	-	-	-	-
				31.03.2019	At the end of the year	-	-	-	-
9	Mr. Pritpal Singh	-	-					-	-
				-	No change	-	-	-	-
				31.03.2019	At the end of the year	-	-	-	-
10	Mr. Shiv Ram Mishra	-	-					-	-
				-	No change	-	-	-	-
				31.03.2019	At the end of the year	-	-	-	-

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-
<b>Change in Indebtedness during the financial year</b>				
• Addition	-	-	-	-
• Reduction	-	-	-	-
<b>Net Change</b>	-	-	-	-
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**A. Remuneration to Managing Director, Whole-time Director and/or Manager:**

(Amount in Rs.)

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager	Total Amount
		<b>Mr. Pritpal Singh</b>	
1.	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1000360	1000360
	(b) Value of perquisites u/s 17(2) Income -tax Act, 1961		
	(c) Profits in lieu of salary under		
2.	Stock Option	-	-
3.	Sweat Equity	-	-
4.	. Commission - as % of profit - others, specify...	-	-
5.	Others, please specify	-	-
	Total (A)	1000360	1000360
	Ceiling as per the Act	As per schedule-V of the Companies Act, 2013	

**B. Remuneration to other directors:**

(Amount in `)

Sr. No.	Particulars of Remuneration	Name of Directors		Total Amount
	1. Independent Directors • Fee for attending board / committee meetings • Commission • Others, please specify	NIL	NIL	NIL
	Total (1)			-
	2. Other Non-Executive Directors • Fee for attending board / committee meetings • Commission • Others, please specify	NIL	NIL	NIL
	Total (2)	-	-	-
	Total (B)=(1+2)	-	-	-
	Total Managerial Remuneration	-	-	-
	Overall Ceiling as per the Act	-		

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD / MANAGER/WTD**

(Amount in `)

Sr. No.	Particulars of Remuneration	Key Managerial Personnel (Company Secretary)	
		Mr. Shiv Ram Mishra	Total
1	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	360251	360251
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission - as % of profit - others, specify...	-	-
5	Others, please specify	-	-
	Total	360251	

## VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD/ NCLT /COURT]	Appeal made, if any (give Details)
<b>A.COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					